

02-24-03

2161#7
BT

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. 03
SON5180.03A

-6-03

In Re Application Of: L. MICHAEL MARITZEN ET AL.

Serial No.
09/737,277

Filing Date
DECEMBER 12, 2000

Examiner

Group Art Unit
2161

Title: APPARATUS AND METHOD FOR POPULATING A PORTABLE SMART DEVICE



Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

JO

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

RECEIVED
FEB 28 2003
GROUP 3600

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
SON5180.03A

In Re Application: L. MICHAEL MARITZEN ET AL.

Serial No.
09/737,277

Filing Date
DECEMBER 12, 2000

Examiner

Group Art Unit
2161

APPARATUS AND METHOD FOR POPULATING A PORTABLE SMART DEVICE



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

RECEIVED
FEB 28 2003
GROUP 3600

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**

Dated: 2/2/03

Signature

JOHN P. O'BANION, REG. NO. 33,201
O'BANION & RITCHEY LLP
400 CAPITOL MALL, SUITE 1550
SACRAMENTO, CA 95814
(916) 498-1010

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **L. MICHAEL MARITZEN ET AL.**

Docket No.

SON5180.03A

Serial No.

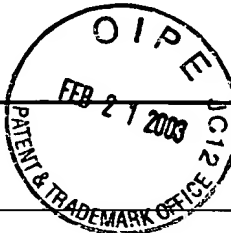
09/737,277

Filing Date

DECEMBER 12, 2000

Examiner

Group Art Unit

2161Invention: **APPARATUS AND METHOD FOR POPULATING A PORTABLE SMART DEVICE**

I hereby certify that the following correspondence:

Transmittal of Information Disclosure Statement (Page 1 & 2)*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

02/21/03*(Date)*Jerry V. King*(Typed or Printed Name of Person Mailing Correspondence)*
Jerry V. King*(Signature of Person Mailing Correspondence)*EV193318128US*("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.

RECEIVED
FEB 28 2003
GROUP 3600

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **L. MICHAEL MARITZEN ET AL.**

Docket No.

SON5180.03A

Serial No.

09/737,277

Filing Date

DECEMBER 12, 2000

Examiner

Group Art Unit

2161Invention: **APPARATUS AND METHOD FOR POPULATING A PORTABLE SMART DEVICE**

I hereby certify that the following correspondence:

Information Disclosure Citation (PTO-1449) (Page 1)

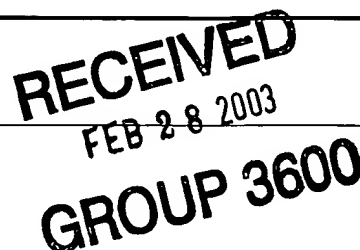
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

02/21/03
(Date)

Jerry V. King*(Typed or Printed Name of Person Mailing Correspondence)*

(Signature of Person Mailing Correspondence)

EV193318128US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **L. MICHAEL MARITZEN ET AL.**

Docket No.

SON5180.03A

Serial No.

09/737,277

Filing Date

DECEMBER 12, 2000

Examiner

Group Art Unit

2161Invention: **APPARATUS AND METHOD FOR POPULATING A PORTABLE SMART DEVICE**

I hereby certify that the following correspondence:

Citations (3)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

02/21/03
(Date)**Jerry V. King**

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EV193318128US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

RECEIVED**FEB 28 2003****GROUP 3600**